

THE CLIENT

- A major Asian corporate and trade finance bank.

THE CHALLENGE

- The bank asked Lysis to assist at its UK branch in London. As a non-UK bank, we ensured that their branch in the UK was trained and up to date with UK regulations. The bank had worked with Lysis to significantly enhance its AML Framework following a mock-regulatory review and now needed to train its personnel on the new requirements.

THE SOLUTION

- Lysis developed a series of bespoke training courses (using the extensive Lysis Academy training library as a base), tailored to fit the bank's policies and procedures.
- A tailor-made course is often the best way to ensure staff training fits the requirements and structure of the firm is designed for, includes the elements that are most important and excludes areas that may be superfluous to requirements.
- The courses covered all aspects of AML under both FCA (UK) requirements. The courses were delivered to both AML staff and front office / relationship management staff who were involved in the AML processes.
- All personnel were tested and certified on completion of the courses.
- The courses offered by Lysis are CPD certified, therefore continuing Personnel development within the firms team.
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THE OUTCOME

- The firm was able to assimilate and operate the new AML Framework and also avoid regulatory sanction which had been a concern of the Board prior to the review, enhancement and training work

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